

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	P296 DIV1 (1737.0730002/LEA/VLC)
First Inventor	Chirag B. Shah
Title	Thromboresistant Coating Composition
Express Mail Label No.	

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO:**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 40]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. ☐ Oath or Declaration [Total Pages 2]
a. ☐ Newly executed (original or copy)
b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR §§
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76.

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
(Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Associate Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Authorization under 37 C.F.R. § 1.136(a)(3)
☒ Other: Revocation of Prior Power of Attorney and Appointment of New Attorneys of Record

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-Part (CIP) of prior application No: 09/138,464, filed: August 21, 1998
Prior application information: Examiner D. S. Nakarani Group/Art Unit: 1773

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code Label

Customer No. 28390

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME	STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.			
	Attorneys at Law			
ADDRESS				
CITY		STATE		ZIP CODE
COUNTRY		TELEPHONE		FAX

NAME (Print/Type)	Vincent L. Capuano	Registration No. (Attorney/Agent)	42,385
SIGNATURE	<i>Vincent L. Capuano</i>	Date	5/23/01

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

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*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

WRITER'S DIRECT NUMBER:

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INTERNET ADDRESS:

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May 23, 2001

Commissioner for Patents
Washington, D.C. 20231

Box Patent Application

Re: U.S. Non-Provisional Utility Patent Application under 37 C.F.R. § 1.53(b)
Appl. No. (to be assigned); Filed: (herewith) May 23, 2001
For: **Thromboresistant Coating Composition**
Inventors: Shah *et al.*
Our Ref: P296 DIV1 (1737.0730002/LEA/VLC)

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.
Patent and Trademark Office:

1. PTO Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
2. PTO Utility Patent Application Transmittal (Form PTO/SB/05);
3. Application Data Sheet;
4. U.S. Utility Patent Application entitled:

Thromboresistant Coating Composition

and naming as inventors:

Chirag B. Shah
Laurel L. Wolfgang

Commissioner for Patents

May 23, 2001

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the application consisting of:

- a. A specification containing a total of 40 pages:
 - i. 32 pages of description prior to the claims;
 - ii. 7 pages of claims (59 claims);
 - iii. a one (1) page abstract;
 - b. A copy of the executed Declaration, as originally filed in U.S. Appl. No. 09/138,464;
 - c. A copy of the executed Revocation of Prior Power of Attorney and Appointment of New Attorneys of Record, as originally filed in U.S. Appl. No. 09/138,464;
 - d. A copy of the executed Associate Power of Attorney, as originally filed in U.S. Appl. No. 09/138,464;
5. Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
 6. A Preliminary Amendment;
 7. Two (2) return postcards; and
 8. Our check No. 31396 for \$710.00 to cover: Filing fee for patent application.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

09562710.056301
T03250.07299501

Commissioner for Patents

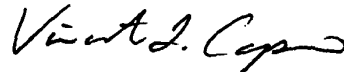
May 23, 2001

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The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Vincent L. Capuano
Attorney for Applicants
Registration No. 42,385

LEA/VLC/tc
Enclosures

PAUSERS\Tclark\vic\1737\0730002\cover-pto.1
SKGF Rev. 8/1/00 mac

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	(to be assigned)
Filing Date	(herewith) May 23, 2001
First Named Inventor	Chirag B. Shah
Examiner Name	(to be assigned)
Group Art Unit	(to be assigned)
Attorney Docket No.	P296 DIV1 (1737.0730002)

TOTAL AMOUNT OF PAYMENT (\$710.00)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036
Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

- ☐ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

- ☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money Order ☒ Other*
*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 710.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims 13 - 20** = 0	X 18.00	= 0.00	
Indep. Claims 1 - 3** = 0	X 40.00	= 0.00	
Multiple Dependent		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid
				105	130	205	65	Surcharge - late filing fee or oath	
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
				139	130	139	130	Non-English specification	
				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
				112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
				113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
				115	110	215	55	Extension for reply within first month	
				116	390	216	195	Extension for reply within second month	
				117	890	217	445	Extension for reply within third month	
				118	1,390	218	695	Extension for reply within fourth month	
				128	1,890	228	945	Extension for reply within fifth month	
				119	310	219	155	Notice of Appeal	
				120	310	220	155	Filing a brief in support of an appeal	
				121	270	221	135	Request for oral hearing	
				138	1,510	138	1,510	Petition to institute a public use proceeding	
				140	110	240	55	Petition to revive - unavoidable	
				141	1,240	241	620	Petition to revive - unintentional	
				142	1,240	242	620	Utility issue fee (or reissue)	
				143	440	243	220	Design issue fee	
				144	600	244	300	Plant issue fee	
				122	130	122	130	Petitions to the Commissioner	
				123	130	123	130	Petitions related to provisional applications	
				126	180	126	180	Submission of Information Disclosure Stmt	
				581	40	481	40	Recording each patent assignment per property (times number of properties)	
				146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
				149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
				179	710	279	355	Request for Continued Examination (RCE)	
				169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type)	Vincent L. Capuano	Registration No. (Attorney/Agent)	42,385	Telephone	202-371-2600
Signature	Vincent L. Capuano	Date	5/23/01		

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SKGF Rev. 11/13/00 mmac